

01576 U.S.  
06/26/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

Mail Stop Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

WITH SIGNED DECLARATION

NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE

21904 U.S. PTO  
10/607338  
06/26/03

Sir:

Herewith is the PATENT APPLICATION of  
Inventor(s): Shin NISHIZAWA

Title METHOD OF CONTROLLING THE OPERATION OF AN  
OPTICAL DISC RECORDING APPARATUS, AND  
RECORDING MEDIUM ON WHICH THE CONTROL  
PROGRAM IS RECORDED

Atty. Dkt.: P 0304519 | H7952

M#

Client Ref

including:

Date: June 26, 2003

1. Specification: 40 pages (only spec. and claims) 2. ☐ Specification in non-English language  
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 14 numbered claims  
4. ☒ Drawings: 12 sheet(s) ☒ informal; ☐ formal of size: ☒ A4 ☐ 11"  
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not  
complete corresponding item 6 or 7). (Prior M# SN )  
6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application  
by this reference:

No. / filed  
No. / filed  
No. / filed  
No. PCT / filed, which

designated the US.

7. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US  
application(s)

8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

9. ☒ Assignee Yamaha Corporation

Address 10-1, Nakazawa-cho, Hamamatsu-shi, Shizuoka-ken, Japan

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2002-190635	June 28, 2002	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

12. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. / filed on \_\_\_\_\_  
13. Small entity status → ☒ is not claimed; ☐ is claimed (Pre-filing confirmation required)  
13(a). ☐ Attached: (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)  
13(b). ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (PAT-258)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$750/\$375	\$750	101/201
19. Total Effective Claims	14	minus 20 =	*0	x \$18/\$9 =	+ 0	103/203
20. Independent Claims	3	minus 3 =	*0	x \$84/\$42 =	+ 0	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present , add (Leave this line blank if this is a reissue application)				+ \$280/\$140	+ 0	104/204
22.				TOTAL FILING FEE =	\$750	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26.				TOTAL FEE =	\$790	
Our Deposit Account No. 03-3975				PLEASE CHARGE OUR DEP. ACCT.		
Our Order No. 051270		0304519				
C#		M#				

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

**This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

**Pillsbury Winthrop LLP  
Intellectual Property Group**

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RRW/JES

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application  
Shin NISHIZAWA

Group No.: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: June 26, 2003

For: **METHOD OF CONTROLLING THE OPERATION OF  
AN OPTICAL DISC RECORDING APPARATUS, AND  
RECORDING MEDIUM ON WHICH THE CONTROL  
PROGRAM IS RECORDED**

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EV 235 026 180 US

Date of Deposit: June 26, 2003

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- ☒ Letter of transmittal
- ☒ Patent application (40 pages of specification; 14 claims; 1 pages of abstract)
- ☒ A check in the amount of \$750.00 to cover the filing fee
- ☒ 12 sheets of informal drawings
- ☒ A Declaration/Power of Attorney
- ☒ An Assignment of the invention with cover sheet and \$40.00 recordation fee
- ☒ Certified copy of (1) Japanese patent application from which priority is claimed
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

June 26, 2003  
Date of Deposit

  
James Swanson